

33

11/24/2003 17:03 FAX

STROOCK

005

#26/13
1/29/14

RECEIVED
CENTRAL FAX CENTER

NOV 24 2003

OFFICIAL

Docket No.
564131/0016

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shinichi Sugihara

Group Art Unit: 1754

Application No.: 09/763,394

Examiner: E. M. Johnson

Filed: July 2, 2001

Match and Return

For: VISIBLE RADIATION TYPE PHOTOCATALYST AND
PRODUCTION METHOD THEREOF

Date: November 24, 2003

INFORMATION DISCLOSURE STATEMENT

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the document listed on the enclosed Information Disclosure Statement by Applicant (Form PTO/SB/08b). The item listed may be deemed to be pertinent to the above-identified application and is made of record to assist the United States Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the item and to independently ascertain its teaching.

The cited reference was submitted to the Japanese Patent Office and admitted by the Japanese Examiner as related art during opposition procedure in a counterpart to the instant case. A copy of cited reference as well as a partial English translation is submitted herewith.

It is respectfully requested that the Examiner consider the above information and that a copy of the enclosed Form PTO/SB/08b be returned indicating that such information has

SSL-DOCS1 1398117v1

Serial No. 09/763,394
Filed: July 2, 2001

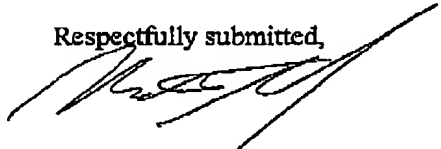
been considered. Applicant respectfully requests that the Examiner make this citation of record in the subject application.

This Information Disclosure Statement is being cited in accordance with 37 C.F.R. § 1.97(b)(4), before the mailing of a first Office action after the filing of a request for continued examination under § 1.114. Accordingly, Applicant believes that no additional fee is due in connection with this Information Disclosure Statement.

If, however, the Commissioner deems any other such fee to be due, the Commissioner is authorized to charge that fee, as well as any other fees that may hereafter be due, to Deposit Account 19-4709.

Favorable consideration and prompt allowance of this application are respectfully requested. In the event that there are any questions, or should additional information be required, please do not hesitate to contact applicant's attorney at the number listed below.

Respectfully submitted,



Matthew W. Siegal
Registration No. 32,941
Attorney for Applicants
STROOCK & STROOCK & LAVIN LLP
180 Maiden Lane
New York, New York 10038-4982
(212) 806-5400

Under the Paperwork Reduction Act of 1998, no persons are required to respond to a collection of information unless it contains a valid OMB

U.S. Patent and Trademark Office: U.S. DEPARTMENT

P: 3/SB/08b/05-091

OMB 051-0031

OF COMMERCE

control number.

Substitute for form 1449B/PTQ

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	1
-------	---	----	---

Complete if Known

Application Number	09/763,394
--------------------	------------

Filing Date	July 2, 2001
-------------	--------------

First Named Inventor	Shinichi Sugihara
----------------------	-------------------

Art Unit	1754
----------	------

Examiner Name	Edward M. Johnson
---------------	-------------------

Attorney Docket Number	564131/0016
------------------------	-------------

NON PATENT LITERATURE DOCUMENTS

Match and Return

[illegible]

**Examiner
Signature**

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1. Applicant's unique citation designation number (optional). 2. Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 C.F.R. 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 38 U.S.C. 122 and 37 C.F.R. 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comment on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

1398241v1

11/24/2003 17:02 FAX

STROOCK

001

STROOCK

RECEIVED
CENTRAL FAX CENTER

NOV 24 2003

OFFICIAL

FACSIMILE

DATE

November 24, 2003

TO	COMPANY NAME	FAX NO.	PHONE NO.
Examiner E.M. Johnson Group Art Unit 1754	U.S. Patent and Trademark Office	703-872-9306	703-315-0216

TOTAL NO. OF PAGES	16 (including cover sheet)
FROM	Matthew W. Siegal, Reg. No. 32,941
SENDER'S FAX NUMBER	212-806-6006
SENDER'S PHONE NO.	212-806-6444
SENDER'S EMAIL	msiegal@stroock.com
ROOM NO.	3875
ATTORNEY NO.	1577
CLIENT/MATTER NAME	U.S. Patent Application No. 09/763,394
CLIENT/MATTER NO.	564131/0016

MESSAGE

Please bring these papers to Examiner Johnson

CONFIDENTIAL

The information contained in this facsimile is privileged and confidential, and is intended only for the use of the individual named above and others who have been specially authorized to receive such. If the recipient is not the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, or if any problems occur with this transmission, please notify us by telephone at 212 806-6102.

STROOCK & STROOCK & LAYAN LLP • NEW YORK • LOS ANGELES • MIAMI
NEW YORK NY 10018-4082 TEL 212.806.5400 FAX 212.806.6006 WWW.STROOCK.COM